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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/547,243	04/11/2000	Stanley Young Hobbs	RD-27.538	4419
6147	7590 07/29/2004		EXAMINER	
GENERAL ELECTRIC COMPANY			GARCIA OTERO, EDUARDO	
GLOBAL RE	ESEARCH ICKET RM. BLDG. K1-4	A59	ART UNIT	PAPER NUMBER
	NA, NY 12309 2123			

DATE MAILED: 07/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



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		Application No.	Applicant(s)	1	P				
Office Action Summary		09/547,243	HOBBS ET A	L.					
		Examiner	Art Unit						
		Eduardo Garcia-Ot							
Period fo	The MAILING DATE of this communica or Reply	tion appears on the cover s	heet with the correspondence	e address					
THE - Exte after - If the - If NO - Faild Any	ORTENED STATUTORY PERIOD FOR MAILING DATE OF THIS COMMUNICA insions of time may be available under the provisions of 3 SIX (6) MONTHS from the mailing date of this communical period for reply specified above is less than thirty (30) do period for reply is specified above, the maximum statuture to reply within the set or extended period for reply will, reply received by the Office later than three months after led patent term adjustment. See 37 CFR 1.704(b).	ATION. 7 CFR 1.136(a). In no event, howevereation. ays, a reply within the statutory minimum pry period will apply and will expire SIX by statute, cause the application to be	may a reply be timely filed im of thirty (30) days will be considered (6) MONTHS from the mailing date of scome ABANDONED (35 U.S.C. § 133	this communication.					
Status									
1) 又	Responsive to communication(s) filed of	on <i>01 June 2004</i> .							
-	•	☐ This action is non-final.							
3)	nce this application is in condition for allowance except for formal matters, prosecution as to the merits is osed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.								
Disposit	ion of Claims								
5)□ 6)⊠ 7)□	Claim(s) 1-72 is/are pending in the app 4a) Of the above claim(s) is/are value claim(s) is/are allowed.  Claim(s) 1-72 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction	withdrawn from considerati							
Applicat	ion Papers								
10)	The specification is objected to by the E The drawing(s) filed on is/are: a Applicant may not request that any objectio Replacement drawing sheet(s) including the The oath or declaration is objected to by	D accepted or b) object on to the drawing(s) be held in e correction is required if the c	abeyance. See 37 CFR 1.85( lrawing(s) is objected to. See 3	37 CFR 1.121(d).					
Priority (	under 35 U.S.C. § 119								
12) <u>□</u> a)	Acknowledgment is made of a claim for All b) Some * c) None of:  1. Certified copies of the priority do:  2. Certified copies of the priority do:  3. Copies of the certified copies of the application from the International See the attached detailed Office action for the certified copies of the attached detailed Office action for the attached detailed Office action for the certified copies of the attached detailed Office action for the attached detailed Office action for the certified copies of the certified copies of the priority do:	cuments have been receive cuments have been receive the priority documents have Bureau (PCT Rule 17.2(a	ed. ed in Application Noe been received in this Nation)).						
2) Notice 3) Information	nt(s) ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO mation Disclosure Statement(s) (PTO-1449 or PTo er No(s)/Mail Date 6/1/2004.	-948) Pa O/SB/08) 5) 🔲 No	erview Summary (PTO-413) per No(s)/Mail Date tice of Informal Patent Application ner:	ı (PTO-152)					

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#### **DETAILED ACTION: Final**

#### Introduction

- 1. Title is: METHOD, SYSTEM, AND PROGRAM PRODUCT FOR ENABLING DESIGN OF PRODUCTS HAVING A VISUAL EFFECT
- 2. First named inventor is: HOBBS
- 3. Claims 1-72 have been submitted, examined, and rejected.
- 4. Priority is claimed to provisional US application filed 8/9/99.
- Applicant's Request for Continued Examination (RCE) and Information Disclosure Statement (IDS) were received 6/1/2004
- 6. This action is a final action, and is the first action on the merits after RCE.

## Index of Prior Art

- 7. **Pringle** refers to US Patent 6,166,814.
- 8. McKay refers to US Patent 5,593,773.
- 9. **Computer Images** refers to Computer Images (Understanding Computers series), by Time-Life Books, 1986, ISBN 0-8094-5662-1, pages 34-35, 68-69, 78-79, 80, 102.
- 10. **Communications** refers to Communications (Understanding Computers series), by Time-Life Books, 1986, ISBN 0-8094-5700-8, pages 66-67.
- 11. **Computer Security** refers to Computer Security (Understanding Computers series), by Time-Life Books, 1986, ISBN 0-8094-5670-2, pages 76-77.

## Applicant Information Disclosure Statement

- 12. Applicant's Request for Continued Examination transmittal form (PTO/SB/30) in part 1(b) marks only box (iii) for Information Disclosure Statement (IDS). No amendment, or Affidavit, or "other" is enclosed. Only said IDS is submitted, containing an English language abstract of Japanese patent JP10105593 titled "Planning System for Interior Articles".
- 13. After considering said abstract, the Examiner maintains all pending claim interpretations and rejections, and repeats them below verbatim from the prior office action. The prior conclusions and discussion of potentially patentable material are also repeated verbatim below.

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#### Claim Interpretation

14. The claim language is interpreted in light of the specification. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

- 15. In claim 25, the term "system" is interpreted as the 35 USC 101 statutory category of "machine".
- 16. In claim 36, the term "system" is interpreted as the 35 USC 101 statutory category of "machine".

#### Claim Rejections - 35 USC § 103

- 17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action: (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 18. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows: Determining the scope and contents of the prior art. Ascertaining the differences between the prior art and the claims at issue. Resolving the level of ordinary skill in the pertinent art. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 19. Claims 1-72 are rejected under 35 U.S.C. 103(a) as being unpatentable.
- 20. <u>Claim 1 is rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images.
- 21. Claim 1 is an independent "computer-implemented method" claim with 2 limitations. For clarity, the Examiner uses bracketed numbers to identify multiple limitations in a single claim.
- 22. [1]-"obtaining information relating to the additive" is disclosed by Pringle column 1 line 22 "modeling the paint as diffusely scattering pigments immersed in a binder... Kabulka-Munk equations... identify the concentrations of pigments... transformation needs to be quantified experimentally, with the theory providing the ability to accurately interpolate between measurement points".

- 23. Pringles does not expressly disclose the additional limitation.
- 24. [2]-"providing a representation of the product having the visual effect based on the information relating to the additive" is disclosed by Computer Images page 102 "graphics now penetrate all three phases of computerized manufacturing, from conceptualizing the product to actually making it... manufacturers prize the enormous gains in productivity made possible by computer simulations", and page 68-69 "To mimic reality, computer graphics must imitate the intricate play of light in a scene, where countless individual rays are reflected from shiny surfaces, absorbed by dull ones, blocked by opaque objects an transmitted, to a greater or lesser degree, by transparent and translucent ones. For a computer to emulate these effects requires a rendering technique called ray tracing." Note that the drawing on pages 68-69 in the original prior art is in color, but only black and white copies are placed in the file and mailed to Applicant.
- 25. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Computer Images to modify Pringle. One of ordinary skill in the art would have been motivated to do this to achieve "enormous gains in productivity" (per Computer images page 102) by reducing the number of "trial" coatings (per Pringle column 2 line 23) required to obtain the desired product.
- 26. <u>Claims 2- 11 are rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and McKay and Computer Security.
- 27. In claim 2, "additive comprises information relating to a flake material" is disclosed by Pringle column 1 line 65 "aluminum flakes are mixed into the binder with the pigment", and column 2 line 30 "distribution of flakes must be modeled in a statistical manner" and FIG 1 showing flakes and diffusely scattered pigments.
- 28. In claim 3, [1] "type of flake material" is disclosed by McKay at column 1 line 13 "median particle size", and line 15 "aspect ratio", and line 63 "aluminum or aluminum alloy flakes".
- 29. Also in claim 3, [2] "concentration of the flake material" is disclosed by McKay at column 2 line 5 "Metal flake pigments... concentrations of 1 to 30% by weight".
- 30. In claim 4, "information relating to a diffuser material" is disclosed by Pringle column 1 line 22 "modeling the paint as diffusely scattering pigments immersed in a binder...

  Kabulka-Munk equations... identify the concentrations of pigments... transformation needs

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to be quantified experimentally, with the theory providing the ability to accurately interpolate between measurement points", and by Computer Images page 68-69 "To mimic reality, computer graphics must imitate the intricate play of light in a scene, where countless individual rays are reflected from shiny surfaces, absorbed by dull ones, blocked by opaque objects an transmitted, to a greater or lesser degree, by transparent and translucent ones. For a computer to emulate these effects requires a rendering technique called ray tracing."

- 31. In claim 5, [1] "a type of diffuser material" is disclosed by McKay at column 1 line 13 "median particle size", and line 15 "aspect ratio", and line 63 "aluminum or aluminum alloy flakes".
- 32. Also in claim 5, [2] "a concentration of diffuser material" is disclosed by McKay at column 2 line 5 "Metal flake pigments... concentrations of 1 to 30% by weight".
- 33. Also in claim 5, [3] "a thickness of the product" is disclosed by Computer Images page 68 "imitate the intricate play of light… ray tracing…shape, position, colors, textures", and drawing on pages 68-69.
- 34. Also in claim 5, [4] "a distance between the product an object to be observed behind the product" is disclosed by Computer Images page 68 "imitate the intricate play of light… ray tracing… shape, position, colors, textures", and drawing on pages 68-69.
- 35. In claim 6, "color of the product" is disclosed by Computer Images page 68 "imitate the intricate play of light... ray tracing... shape, position, colors, textures", and drawing on pages 68-69.
- 36. In claim 7, "retrieving the representation from a database of representations associated with a plurality of products having visual effects, and computer generating the representation of the product having the visual effect" is disclosed by Computer Images page 34 "Once created... stored in a library of shapes and recalled for future use", and page 35 "a library of basic circuit components", and pages 79 "5 key frames of a deer", and page 80 "library of 26 preformed solid shapes", and page 84 "The ship could be enlarged or reduced in size at will, and—more significant—it could be easily replicated".
- 37. In claim 8, "storing the representation... and allowing authorized access" is disclosed by Computer Security at page 76-77 "Controlling Access to Computer files".

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- 38. In claim 9, "obtaining a request for a physical sample of the product having the visual effect" is disclosed by Pringle column 2 line 23 "trial" coatings.
- 39. In claim 10, "determining ingredients and concentrations for producing the product having the visual effect" is disclose by Computer Images page 102 "graphics now penetrate all three phases of computerized manufacturing, from conceptualizing the product to actually making it... manufacturers prize the enormous gains in productivity made possible by computer simulations".
- 40. In claim 11, "plastic material" is Pringle column 1 line 22 "modeling the paint as diffusely scattering pigments immersed in a binder", and Computer images page 102 "plastic"
- 41. MOTIVATION FOR CLAIMS 2-11. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Computer Images and McKay and Computer Security to modify Pringle. One of ordinary skill in the art would begin with Pringles as a basic model of flaked paint, would be motivated to use Computer Images ray tracing simulations in order to gain in productivity by simulating designs to determine the design parameters before producing Pringle's trial coatings.
- 42. Further, one of ordinary skill in the art would motivated to improve the detail and accuracy of the Pringles model by incorporating additional details from McKay such as the physical parameters of the flake and the concentration of the flake, and by incorporating additional details from Computer Images such as the shape and positions of the objects for ray tracing. All of these properties are essential for accurate ray tracing. Additionally, it is good standard computer image "bookkeeping" procedure to save time by organizing sets of related images in database libraries per Computer Images, and to exercise good standard security practices by restricting access per Computer Security in order to protect the data and the programs.
- 43. <u>Claim 12 is rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images.
- 44. Claim 12 is an independent "method" claim with 3 limitations. For clarity, the Examiner uses bracketed numbers to identify multiple limitations in a single claim.
- 45. [1]-"obtaining information relating to the additive" is disclosed by Pringle column 1 line 22 "modeling the paint as diffusely scattering pigments immersed in a binder... Kabulka-Munk equations... identify the concentrations of pigments... transformation needs to be

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quantified experimentally, with the theory providing the ability to accurately interpolate between measurement points".

- 46. Pringles does not expressly disclose the additional limitation.
- 47. [2]-"providing a representation of the product having the visual effect based on the information relating to the additive" is disclosed by Computer Images page 102 "graphics now penetrate all three phases of computerized manufacturing, from conceptualizing the product to actually making it... manufacturers prize the enormous gains in productivity made possible by computer simulations", and page 68-69 "To mimic reality, computer graphics must imitate the intricate play of light in a scene, where countless individual rays are reflected from shiny surfaces, absorbed by dull ones, blocked by opaque objects an transmitted, to a greater or lesser degree, by transparent and translucent ones. For a computer to emulate these effects requires a rendering technique called ray tracing." Note that the drawing on pages 68-69 in the original prior art is in color, but only black and white copies are placed in the file and mailed to Applicant.
- 48. [3]-"first computing unit coupled via a communications network to a second computing unit" is disclosed by Communications page 66-67 "number of machines... network... communication system dispersed over a wide geographical area".
- 49. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Computer Images and Communications to modify Pringle. Starting with Pringle's basic model of flaked paint, one of ordinary skill in the art would be motivated to look to use Computer Images simulation in order to achieve "enormous gains in productivity" (per Computer Images page 102) by reducing the number of "trial" coatings (per Pringle column 2 line 23) required to obtain the desired product. One of ordinary skill would further be motivated to provide this simulation efficiently to many users by using a communications network to efficiently connect the multiple users. Using a network further allows the software and data to be centralized, and to control access.
- 50. <u>Claims 13-24 are rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and Communications and McKay and Computer Security.
- 51. Claims 13-24 depend from independent claim 12

- 52. Claims 13-22 have the same additional limitations as dependent claims 2-11 respectively, and thus are rejected for the same reasons.
- 53. In claim 23, "communications network is a global computer network" is disclosed by Communications page 66-67 "number of machines... network... communication system dispersed over a wide geographical area".
- 54. In claim 24, "transferring, from the second computing unit a module for representing a plurality of products having a plurality of additives to the first computing unit" is disclosed by Communications page 66-67 "Packet Switching: An Efficient Way to Shuttle Data... allow users in several locations to share computing facilities and resources", and Computer Images page 35 "use Sketchpad to create a library of basic circuit components such as transistors and resistors".
- 55. MOTIVATION FOR CLAIMS 13-24. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Computer Images and Communications and McKay and Computer Security to modify Pringle. Starting with Pringle's basic model, one of ordinary skill in the art would be motivated to look to use Computer Images simulation in order to achieve "enormous gains in productivity" (per Computer Images page 102) by reducing the number of "trial" coatings (per Pringle column 2 line 23) required to obtain the desired product. One of ordinary skill would further be motivated to provide this simulation efficiently to many users by using a communications network to efficiently connect the multiple users. Using a network further allows the software and data to be centralized, and to control access, and to efficiently shuttle data and share resources. Further, one of ordinary skill in the art would motivated to improve the detail and accuracy of the Pringles model by incorporating additional details from McKay such as the physical parameters of the flake and the concentration of the flake, and by incorporating additional details from Computer Images such as the shape and positions of the objects for ray tracing. All of these properties are essential for accurate ray tracing. Additionally, it is good standard computer image "bookkeeping" procedure to save time by organizing sets of related images in database libraries per Computer Images, and to exercise good standard security practices by restricting access per Computer Security in order to protect the data and the programs. One of ordinary skill would further be motivated to

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provide this simulation efficiently to many users by using a communications network to efficiently connect the multiple users. Using a network further allows the software and data to be centralized, and to control access.

- 56. <u>Claim 25 is rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and Communications.
- 57. Claim 25 is an independent "system" (machine) claim with 3 limitations. For clarity, the Examiner uses bracketed numbers to identify multiple limitations in a single claim.
- 58. [1]-"obtaining information relating to the additive" is disclosed by Pringle column 1 line 22 "modeling the paint as diffusely scattering pigments immersed in a binder... Kabulka-Munk equations... identify the concentrations of pigments... transformation needs to be quantified experimentally, with the theory providing the ability to accurately interpolate between measurement points".
- 59. Pringles does not expressly disclose the additional limitation.
- 60. [2]-"providing a representation of the product having the visual effect based on the information relating to the additive" is disclosed by Computer Images page 102 "graphics now penetrate all three phases of computerized manufacturing, from conceptualizing the product to actually making it... manufacturers prize the enormous gains in productivity made possible by computer simulations", and page 68-69 "To mimic reality, computer graphics must imitate the intricate play of light in a scene, where countless individual rays are reflected from shiny surfaces, absorbed by dull ones, blocked by opaque objects an transmitted, to a greater or lesser degree, by transparent and translucent ones. For a computer to emulate these effects requires a rendering technique called ray tracing." Note that the drawing on pages 68-69 in the original prior art is in color, but only black and white copies are placed in the file and mailed to Applicant.
- 61. [3]-"first computing unit coupled via a communications network to a second computing unit" is disclosed by Communications page 66-67 "number of machines... network... communication system dispersed over a wide geographical area".
- 62. [4]-"processor" is disclosed by Computer Images page 102 "graphics now penetrate all three phases of computerized manufacturing, from conceptualizing the product to actually making it…". Note that said computers contain processors.

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- 63. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Computer Images and Communications to modify Pringle. Starting with Pringle's basic model of flaked paint, one of ordinary skill in the art would be motivated to look to use Computer Images simulation (using processors) in order to achieve "enormous gains in productivity" (per Computer Images page 102) by reducing the number of "trial" coatings (per Pringle column 2 line 23) required to obtain the desired product. One of ordinary skill would further be motivated to provide this simulation efficiently to many users by using a communications network to efficiently connect the multiple users. Using a network further allows the software and data to be centralized, and to control access, and to efficiently bill the user.
- 64. <u>Claims 26-35 are rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and Communications and McKay and Computer Security.
- 65. Claims 26-35 depend from claim 25, with the same additional limitations as claims 2-11 respectively, and thus are rejected for the same reasons.
- 66. MOTIVATION FOR CLAIMS 26-35. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Computer Images and McKay and Computer Security to modify Pringle. One of ordinary skill in the art would begin with Pringles as a basic model of flaked paint, would be motivated to use Computer Images ray tracing simulations in order to gain in productivity by simulating designs to determine the design parameters before producing Pringle's trial coatings.
- of the Pringles model by incorporating additional details from McKay such as the physical parameters of the flake and the concentration of the flake, and by incorporating additional details from Computer Images such as the shape and positions of the objects for ray tracing. All of these properties are essential for accurate ray tracing. Additionally, it is good standard computer image "bookkeeping" procedure to save time by organizing sets of related images in database libraries per Computer Images, and to exercise good standard security practices by restricting access per Computer Security in order to protect the data and the programs.
- 68. <u>Claims 36-48 are rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and Communications and McKay and Computer Security.

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69. Claims 36-48 are "means for" claims with the same limitations as claims 12-24 respectively, and are rejected for the same reasons with the same motivation.

- 70. <u>Claims 49-59 are rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and McKay and Computer Security.
- 71. Claims 49-59 are "program storage device readable by a machine" claims with the same limitations as claims 1-11 respectively, and are rejected for the same reasons.
- 72. <u>Claims 60-72 are rejected</u> under 35 U.S.C. 103(a) as being unpatentable over Pringle in view of Computer Images and Communications and McKay and Computer Security.
- 73. Claims 60-72 are "article of manufacture" claims with the same limitations as claims 12-24 respectively, and are rejected for the same reasons.

#### Conclusion

74. All claims stand rejected.

#### Potentially Patentable material

- 75. At present, the Examiner believes that this application may contain some potentially patentable material. Specifically, specification page 10 and FIG 6 discuss an interesting procedure for simulating irregular 650 micron flakes. Only certain pixel configurations are allowed, as illustrated by the FIG 6 rightmost simulated flake, with a square core of 4 pixels and an additional "dashed portion" of three adjacent pixels wrapping one of the corners. Excluding other configurations as "unacceptable" for 650 micron flakes is not disclosed by the prior art of record. The Examiner interprets FIG 6 as disclosing 4 allowable configurations, where said "dashed portion" wraps one of the 4 possible corners of the square core.
- 76. If the Applicant decides to continue prosecution of the present application, then the Examiner requests additional discussion and clarification regarding the specification page 10 statement "This configuration... could easily be adapted to the construction of larger, irregular particles". Note that specification page 9 Table 1 does not list any sizes above 650 mean particle size. For example, what is the next larger standard metal flake particle size, and precisely how would it be modeled using the "configuration" from FIG 6 rightmost flake?

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### Action Is Final, First Action Following Request for Continued Examination

- 77. All claims are drawn to the same invention claimed in the application prior to the entry of the submission under 37 CFR 1.114 and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the application prior to entry under 37 CFR 1.114. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action after the filing of a request for continued examination and the submission under 37 CFR 1.114. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
- 78. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

#### Communication

79. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Eduardo Garcia-Otero whose telephone number is 703-305-0857. The examiner can normally be reached on Tuesday through Friday from 9:00 AM to 7:00 PM. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Kevin Teska, can be reached at (703) 305-9704. The fax phone number for this group is 703-872-9306. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the group receptionist, whose telephone number is (703) 305-3900.

\* \* \*